ADDENDUM NO. 1

DATE: **December 3, 2018**

PROJECT: **Lomitas Negras Phase 2**

PROJECT NO.: **115121-02**

BID NO.: **IFB # 2018-07**

TO: **TO ALL PROSPECTIVE BIDDERS**

This Addendum forms a part of the Contract Documents and modifies or supplements the Bid and Contract Documents or the Drawings as indicated below. All other provisions of the Contract Documents shall remain unchanged. This Addendum is hereby made a part of the Contract Documents to the same extent as those provisions contained in the original documents and all itemized listings thereof. Bidders shall acknowledge receipt of this Addendum in the appropriate space on the Bid Forms.

A. Notice of Change in Bid Submittal and Opening Location

1. No change.

B. Bid Forms

1. Revised ADDENDUM 1 UNIT PRICE BID PROPOSAL Forms are included herewith. These Bid Forms shall be used in the Bid Submittal.
   Summary of this change:
   A. Revised bid items and quantities for the Wilson & Company revised design near Saratoga Road and the box culverts. These items are included as part of the Unit Price Bid Proposal Form that correspond to **"C. Construction Drawings"** as noted below.

C. Construction Drawings

1. **New ADDENDUM 1 Sheet No. C-109** included herewith.

   Add the following Construction Drawing annotated as "ADDENDUM 1 Sheet No. C-109" (Wilson and Company, PE Stamp dated 6/29/18).

   Summary of this Change:

   A. This ADDENDUM 1 Sheet C-109 provides a design revision that modifies how the proposed 'Type B Concrete Rundown" is joined into the top of the existing box culvert wingwall.

   B. This ADDENDUM 1 Sheet C-109 provides a design revision as to the proposed asphalt shape and header curb from left of the dashed line (annotated as this contract) to the proposed "Type B Concrete Rundown".

2. **Modification to Smith Engineering Company Sheet 22-C-12 of the Construction Plans,** that sheet is a copy of "Sheet C-106" (Wilson & Company, PE Stamp 6/29/18).

   A. Delete the following:

   Delete "Keyed Note" 10 - The concrete apron at the box culvert outfall will NOT be built.

   B. Do not build the following items from Sheet C-106, the asphalt or header curb left of the dashed line (annotated as "to be constructed by others"). Refer to the new Addendum 1 Sheet C-109 for revised design.

3. **NA**

D. Clarifications/Information

1. NA
2. NA

E. Specifications

1. Add the following Supplemental Technical Specification included here with, that is titled:
   "Clean Water Act 404/401 Permit Requirements"

Smith Engineering Company

By Patrick Stovall 12-3-2018

Patrick Stovall, PE

ADDENDUM 1

UNIT PRICE BID PROPOSAL for:

Lomitas Negras Phase 2

ADDENDUM 1 - UNIT PRICE BID PROPOSAL FOR: LOMITAS NEGRAS PHASE 2
Southern Sandoval County Arroyo Flood Control Authority

BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
1		1200	Construction Traffic Control and Barricading: including any and all access signs and permitting by the City of Rio Rancho, Complete	LS	1		
2		1504	Temporary Pollution Control: NPDES and SWPPP Preparation and Maintenance, Complete	LS	1		
3		1505	Control of Storm Water and Nuisance Flow: C.I.P	LS	1		
4		1506	Construction Staking: Complete	LS	1		
5		1508	Project Record Documents: Complete	LS	1		
6		1510	Project Signs: Place at designations by SSCAFCA at beginning of project and R&D after project finish, Complete	EA	2		
7		1510.1	Sign Remove and Replace: Existing roadway signs (2) along Obregon Rd. (south side), remove, store and replace, C.I.P.	EA	2		
8		201	Clearing and Grubbing: clearing and grubbing including haul and disposal, dust abatement is required and incidental, Complete	ACRE	37		
9		202	Excavation and Rough Grading: Excavation and rough grading to within 6-inches of finish grades on plans. Haul and dispose of excess soils off-site to SSCAFCA designated location within 6 mi radius included, compaction not required, dust abatement is required and incidental. Complete	CY	280,582		
10	1	204	Fill Construction: Fill soil material for NE side of N. Trib. Arroyo west of access road, when obtained from within the limits of construction, includes, subgrade preparation, blending / mixing to obtain homogeneous material / construction in lifts, rough and final grading, dust abatement is required and incidental, C.I.P.	CY	850		
11		204	Fill Construction: Fill soil material, when obtained from within the limits of construction, includes, subgrade preparation, blending / mixing to obtain homogeneous material / construction in lifts, rough and final grading, dust abatement is required and incidental, C.I.P.	CY	11,865		
12	1	513	Soil Cement: North Side of North Tributary Arroyo west of Maint. Access Rd., Construct soil cement structures with on-site soils as aggregate including excavation, subgrade preparation, and backfill, C.I.P.	CY	777		
13	1	509	Cement: Portland Cement (12% minimum) Type II-LA for Soil Cement, North Side of North Tributary Arroyo, Complete	TON	179		

ADDENDUM 1 - **UNIT PRICE BID PROPOSAL FOR: LOMITAS NEGRAS PHASE 2**
Southern Sandoval County Arroyo Flood Control Authority

BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
14	2	513	Soil Cement: South Side of Main Arroyo west of Saratoga Rd. to the Flow Diversion Wall, Construct soil cement structures with on-site soils as aggregate including excavation, subgrade preparation, and backfill, C.I.P.	CY	2,605		
15	2	509	Cement: Portland Cement (12% minimum) Type II-LA for Soil Cement, South Side of Main Arroyo from Box Culverts at Saratoga to Flow Diversion Wall, Complete	TON	599		
16		513	Soil Cement: Construct soil cement structures with on-site soils as aggregate including excavation, subgrade preparation, and backfill, C.I.P.	CY	11,145		
17		509	Cement: Portland Cement (12% minimum) Type II-LA for Soil Cement, Complete	TON	2,552		
18		511000	Structural Concrete Class A: Structural RPCC, 4,000 psi, including excavation, subgrade prep, engineered fill, backfill, formwork, includes rebar, C.I.P.	CY	245		
19		301	Subgrade Preparation: 12" at 95% compaction, C.I.P.	SY	668		
20		541200	Structural Steel for Miscellaneous Structures: grate and steel support beam, includes all materials and labor, C.I.P.	LS	1		
21		541200	Structural Steel for Miscellaneous Structures: install steel sediment stage marker post, includes all materials, labor, C.I.P.	LBS	3,546		
22		602010	Rip-Rap Class B: includes riprap, non-woven filter fabric and placement, C.I.P.	CY	1,673		
23		602020	Rip-Rap Class C: Both Sides of Energy Dissipation Chute, and Below Energy Dissiption Final Step, includes riprap, non-woven filter fabric and placement, C.I.P.	CY	204		
24		570.1	Storm Sewer Pipe Installations: Principal Spillway 36-inch Smooth interior corrugated pipe, includes material, C.I.P. (joints must maintain min. 10.8 psi pressure for a min. of 10 minutes), Contech UltraFlo Pipe or approved equal	LF	284		
25		701.1	Trenching, Excavation and Backfill: Principal Spillway Trenching, Backfilling and Compaction, for 18" - 36" diameter drain, up to 8' in depth, pipe not incl., Complete	LF	284		

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Southern Sandoval County Arroyo Flood Control Authority

BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
26		570.2	Storm Sewer Pipe Installations: Obregon Rd. 42-inchSmooth interior corrugated pipe, includes material, C.I.P. (joints must maintain 10.8 psi pressure for a min. of 10 minutes), Contech UltraFlow pipe or approved equal	LF	717		
27		701.2	Trenching, Excavation and Backfill: Obregon Storm Drain Trenching, Backfilling and Compaction, for 42" - 60" diameter drain, up to 8' in depth, pipe not incl., Complete	LF	717		
28		660.024	Excavation, Backfill and Compaction for Manhole Type C - 6 Ft. Diameter: Obregon Storm Drain Manhole, 6' dia., Type "C", 10 ft -to 14 ft deep, Complete	EA	1		
29		660.042	Excavation, Backfill and Compaction for Manhole Type C - 8 Ft. Diameter: Obregon Storm Drain Manhole, 8' dia., Type "C", 6 ft to 10 ft deep, Complete	EA	1		
30		662024	Manhole Type C - 6 Ft. Diameter: Obregon Storm Drain Manhole, 6' dia., Type "C", 10 ft -to 14 ft deep, Complete, Contech UltraFlow or approved equal	EA	1		
31		662042	Manhole Type C - 8 Ft. Diameter: Obregon Storm Drain Manhole, 8' dia., Type "C", 6 ft to 10 ft deep, Complete, Contech UltraFlow or approved equal	EA	1		
32		410.6.4	Metal Pipe Access Control Gate: Pipe Gate, 16' wide, C.I.P.	EA	1		
33		410	Wood Posts: Twisted 5 Strand Barbless Wire Fence, fence including wood posts, mortises and concrete, C.I.P.	LF	4,312		
34		410.1	Steel Posts: Twisted 5 strand barbless wire fence: includes wire, 4 in. dia. SCH 40 A53 Grade B steel pipe, mortises, 7 ft long, 4 in. steel caps, welding, concrete, paint, all materials and labor, C.I.P.	LF	1,722		
35		662.1	Storm Drain Pyramid Structure, C.I.P., StormRax or approved equal	EA	1		
36		302	Aggregate Base Course: Pond Access Road, includes furnishing, placement and compaction of aggregate base course, C.I.P.	SY	1,797		
37		1509	Remove and Dispose Storm Drain: Remove and dispose existing Obregon Rd. storm drain, outfall concrete structure and appurtenances, includes haul and disposal fee. Complete.	LS	1		
38		607.2.3.1	Chain Link Fence: Saratoga Box Culverts upstream wingwalls: Build 5 ft tall fence, includes posts, rails, fasteners. C.I.P.	LF	32		

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Southern Sandoval County Arroyo Flood Control Authority

BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
39		607.2.3	Remove and Dispose Chain Link Fence: Saratoga Box Culverts upstream wingwalls (Remove and Dispose of existing fence & posts incidental), includes posts, rails, fasteners. C.I.P.	LF	32		
40		1509.1	Remove and Dispose Wire Enclosed Riprap: Remove and dispose existing wire enclosed riprap and appurtenances at Saratoga Box culverts upstream wingwalls, includes haul and disposal fee. Stockpile stones and reuse at Channel 6 per plans. Complete.	LS	1		
41		1506.1	Access control fencing around archeological site including remove and dispose after project is completed, Complete	LF	100		
42		905	SAS Cleanout Adjustments: Elevate 7 - 4-inch diameter PVC Pipe SAS cleanouts. Includes 4-inch PVC Sched. 40 pipes, 4-inch caps, appertenances, 24-inch x 24-inch x 4-inch concrete pads. Includes all materials, labor. C.I.P.	LS	1		
43		1512	Weep Holes for lateral weir / energy dissipation strucure: 3-inch PVC pipe Schedule 40, 3/4 inch crushed gravel, non-woven geotextile fabric, metal screen (1/4 inch X 1/4 inch openings)	LS	1		
44		2250	Stationary Steel Posts: Includes 4 in. dia. SCH. 40 A53 Grade B steel pipe, 5 ft. 2 in. long, cement, paint, bolts and nuts, 4 in. steel caps, all materials and labor, (Lateral Weir and Emergency Spillway). C.I.P.	EA	24		
45		2280	Steel Cable: Includes 1 / 2 in. dia. steel cable, bolts and nuts, metal cable alert signs, all materials and labor. C.I.P.	LF	530		
46		1012	Native Grass Seeding: Seed (Hydro Seed) all slopes and over bank disturbed areas, soil amendments and fertilizer not required, C.I.P.	ACRE	19		
47		1012.6.2.3	Seeding with Gravel Mulch: includes soil preparation, install 2-inch thick gravel and seed, soil amendments and fertilizer not required. C.I.P.	ACRE	4.5		
	OBREGON ROAD CURB AND GUTTER						
48		343	Removed and Dispose Existing Asphalt Pavement: Obregon Road existing pavement, asphalt concrete, sawcut, remove & dispose, any thickness, Complete	SY	243		
49		340	Curb and Gutter: Obregon Road Curb & Gutter, standard, Portland Cement concrete, incl. subgrade preparation, C.I.P.	LF	875		

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BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
	OBREGON ROAD RIPRAP RUNDOWN						
50		602020	Rip-Rap Class C: Obregon Road Rundown, includes riprap, non-woven filter fabric and placement, C.I.P.	CY	98		
51		204	Fill Construction: Fill soil material for Obregon Rd. Rundown channel construction in lifts, rough and final grading to rundown invert elevations, C.I.P.	CY	143		
	SARATOGA ROAD - DRAINAGE IMPROVEMENTS (Smith Engineering Design)						
52		301	Subgrade Preparation: Saratoga Road Subgrade Prep. for roads, 12" at 95% compaction, C.I.P.	SY	40		
53		302	Saratoga Road Aggregate base course for roads, 6" at 95% compaction, C.I.P.	SY	40		
54		343	Removed and Dispose Existing Asphalt Pavement: Saratoga Rd. Road Existing Pavement, Asphalt Concrete, sawcut, remove & dispose, any thickness, Complete	SY	40		
55		336	Saratoga Road Arterial Asphalt Concrete, Type SP III, 3 inch thick, (Striping is incidental) C.I.P.	SY	40		
56		336	Saratoga Road - Asphalt Concrete Drainage Swales, Type SP III, 3 inch thick, C.I.P.	SY	215		
57		341	Saratoga Road - Extruded Asphalt Curb, C.I.P.	LF	145		
	SARATOGA ROAD DIP SECTION, RUNDOWN and BOX CULVERT IMPROVEMENTS (Wilson & Company Plans 11-26-18)						
58		202	Excavation and Rough Grading: Excavation and rough grading to within 6-inches of finish grades on plans. Haul and dispose of excess soils off-site to SSCAFCA designated location within 6 mi radius included, compaction not required, dust abatement is required and incidental. Complete (NM Std. Spec. 202)	CY	100		
59		343	Sawcut Asphalt Pavement	LF	80		
60		343	Removed and Dispose Existing Asphalt Pavement: Saratoga Rd. Road existing pavement at proposed concrete valley gutter and at existing drainage rundown east of Saratoga Rd., asphalt concrete, sawcut, remove & dispose, any thickness, Complete (NM Std. Spec. 343)	SY	205		
61		301	Subgrade Preparation: All areas - Subgrade Prep. Under concrete valley gutter for roads, 12" at 95% compaction, C.I.P. (NM Std. Spec. 301)	SY	185		
62		302	Aggregate base course for roads, 6" at 95% compaction, C.I.P.	CY	65		
63		336.1	Asphalt Concrete Drainage Swale, Type SP III, 1-3 inch thick, Machine Laydown, C.I.P.	SY	80		
64		336.2	Asphalt Concrete Drainage Swale, Type SP III, 2-2 inch thick, Machine Laydown, C.I.P.	SY	85		

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BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
65		340.2	Curb and Gutter, Standard: Portland Cement concrete, incl. subgrade preparation, C.I.P.	LF	10		
66		340.1	Header Curb, Portland Cement Concrete, incl. subgrade prep. C.I. P.	LF	75		
67		101.2	Reinforced Concrete Drainage Rundown from Saratoga Rd. to box culvert, incl. rebar, subgrade prep, C.I.P. (NM Std. Specs. 101 & 602 & Std. Dwg. 2260 - "Type B" 10-ft bot. width, 1 ft deep)	CY	30		
68		101.1	Build Concrete Valley Gutter in Saratoga Rd. - Unreinforced concrete, (Striping is incidental) Complete (NM Std. Spec. Sect. 101)	CY	20		
			SUB-TOTAL FOR ALL BID ITEMS above				
69		1503	Mobilization: Compl., Not to exceed 5% of the sub-total for Items 1 through 66	LS	1		
a)			**BASE BID SUBTOTAL: Subtotal of Bid Item 1 through 67**				
			Allowances				
70	b)	1511	Utility Relocation	LS	1		25,000.00
71	b)	1507	Materials Testing, Quality Assurance and Submittals.	LS	1		75,000.00
	b)		**Total Allowances**	---	---	---	**100,000**
c)			**BASE BID SUBTOTAL:** Line a) Base Bid, plus Line b) Allowances				

ADDENDUM 1 - UNIT PRICE BID PROPOSAL FOR: LOMITAS NEGRAS PHASE 2
Southern Sandoval County Arroyo Flood Control Authority

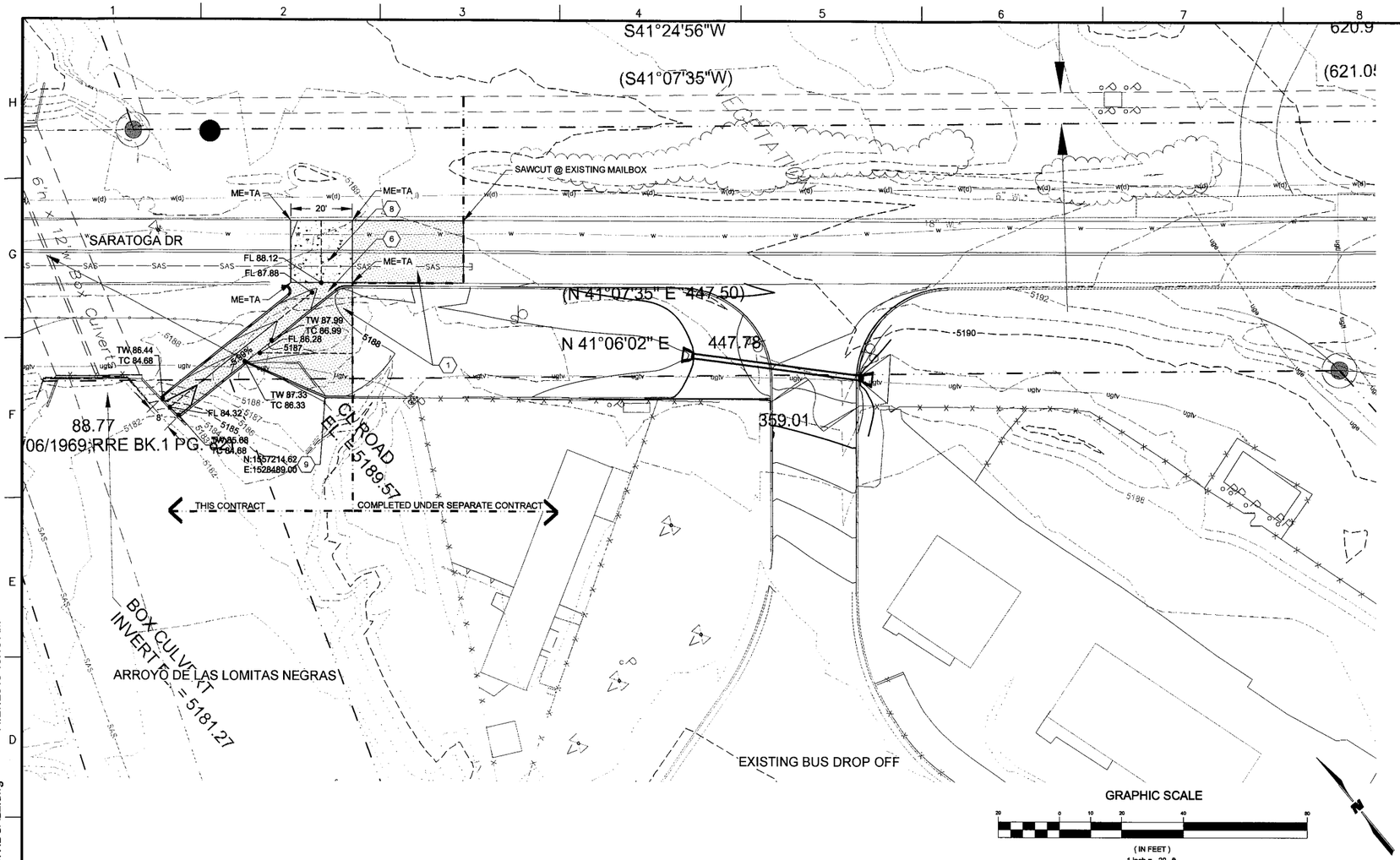
BID ITEM NUMBER	Deductive Alternate #	BUILD / PAY ITEM NUMBER	ITEM DESCRIPTION Complete in Place = C.I.P.	UNIT	EST. QUANTITY	UNIT COST $	AMOUNT $
DEDUCTIVE ALTERNATE 1 BASE BID							
			SUBTOTAL of Deductive Alternate 1 Items =				
			DEDUCTIVE ALTERNATE 1 BASE BID SUBTOTAL: Base Bid reduced by Deductive Alternate 1 Items				
69		1503	Mobilization: Compl., Not to exceed 5% of the sub-total for Items 1 through 66 (exlcuding Deductive Alt. 1 Items)	LS	1		
a)			**DEDUCTIVE ALTERNATE 1 BASE BID SUBTOTAL:**				
			Allowances				
70	b)	1511	Utility Relocation	LS	1		25,000.00
71	b)	1507	Materials Testing, Quality Assurance and Submittals.	LS	1		75,000.00
	b)		**Total Allowances**	---	---	---	**100,000**
c)			**DEDUCTIVE ALTERNATE 1 BASE BID SUBTOTAL:** Line a) Base Bid, plus Line b) Allowances				
DEDUCTIVE ALTERNATES 1 AND 2 COMBINED BASE BID							
			SUBTOTAL of Deductive Alternate 1 Items =				
			SUBTOTAL of Deductive Alternate 2 Items =				
			SUBTOTAL of Deductive Alternate 1 and Deductive Alternate 2 Items =				
			DEDUCTIVE ALTERNATES 1 AND 2 (COMBINED) BASE BID SUBTOTAL: Base Bid reduced by Deductive Alternate 1 Items and Deductive Alternate 2 Items				
69		1503	Mobilization: Compl., Not to exceed 5% of the sub-total for Items 1 through 66 (excluding Deductive Alternate 1 and 2 Items)	LS	1		
a)			**DEDUCTIVE ALTERNATES 1 and 2 (COMBINED) BASE BID SUBTOTAL:**				
			Allowances				
70	b)	1511	Utility Relocation	LS	1		25,000.00
71	b)	1507	Materials Testing, Quality Assurance and Submittals.	LS	1		75,000.00
	b)		**Total Allowances**	---	---	---	**100,000**
c)			**DEDUCTIVE ALTERNATES 1 and 2 (COMBINED) BASE BID SUBTOTAL:** Line a) Base Bid, plus Line b) Allowances				

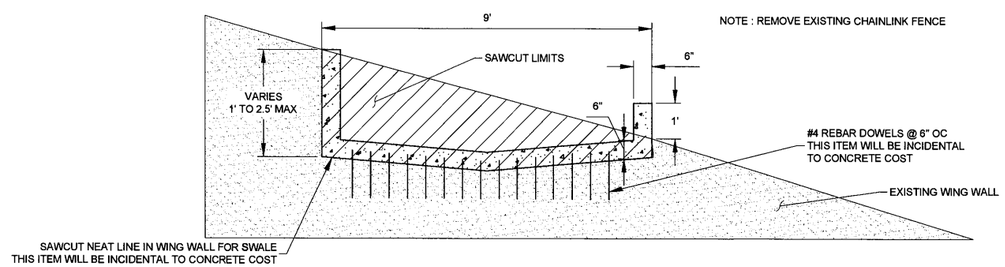
MANDATORY: PLEASE FILL OUT BLANKS BELOW

- Bidder has registered at SAM.gov (Initial to acknowledge): _____
- DUNS Number for Prime Contractor: _____

SAMS Number will be checked for debarment and debarment shall be grounds for rejection of bid

- Unit Price Bid Proposal End -





NOTE : REMOVE EXISTING CHAINLINK FENCE

SWALE CONNECTION TO WING WALL DETAIL

SCALE: 1" = 2'

GENERAL NOTES

1. ANY DAMAGE TO EXISTING UTILITY OR STREET LIGHT AS RESULT OF THIS PROJECT WILL BE REPLACED IN KIND AT THE CONTRACTORS EXPENSE.

KEYNOTES

1. INSTALL NEW ASPHALT PAVING SEE SECTION PER SHEET C-103
2. INSTALL NEW 8" CURB AND GUTTER PER CITY OF RIO RANCHO STANDARD DRAWING CG-01
3. INSTALL VALLEY GUTTER AT INTERSECTION PER CITY OF RIO RANCHO STANDARD DRAWING VG-01
4. INSTALL DRIVE PAD PER CITY OF RIO RANCHO STANDARD DRAWING DW-02
5. INSTALL HEADER CURB PER DETAIL SHEET C-501. LEAVE OPENING FOR EXISTING GATE, NOT SHOWN IN SURVEY
6. INSTALL TYPE B CONCRETE RUN DOWN PER APWA STANDARD 2260. TIE PROPOSED RUNDOWN TO EXISTING WING WALL
7. PROTECT EXISTING FENCE AND GATE ANY DAMAGE AS A RESULT OF THIS PROJECT WILL BE REPLACED IN KIND AT THE CONTRACTORS EXPENSE
8. INSTALL VALLEY GUTTER PER DETAIL SHEET C-501
9. BEGIN TAPER 8" MODIFIED CUT OFF WALL TO CONCRETE RUN DOWN

LEGEND

- ASPHALT LIMITS
- COBBLE SWALE LIMITS
- CONCRETE LIMITS
- BASE COURSE PARKING LOT LIMITS
- UNDERGROUND TELEPHONE LINE
- UNDERGROUND ELECTRIC
- WATERLINE
- FENCELINE
- PROPERTY LINE
- SANITARY SEWER
- FIBER OPTIC
- GAS LINE
- UTILITY EASEMENT
- VEGETATION
- VALLEY GUTTER/ SWALE FLOW LINE
- SIGN
- LIGHT POLE
- MANHOLE
- POST
- STREET LIGHT
- EX MAJOR CONTOUR
- EX MINOR CONTOUR
- PROPOSED MAJOR CONTOUR
- PROPOSED MINOR CONTOUR

WILSON & COMPANY
4401 MASTHEAD ST. NE, SUITE 150
ALBUQUERQUE, NM 87109
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CONSULTANTS

SEAL

PROJECT NAME
ENCHANTED HILLS ELEMENTARY DRAINAGE IMPROVEMENTS

	BY	DESCRIPTION	DATE	REV.

PROJECT NO:	17-600-113-00
DESIGNED BY:	JEL
DRAWN BY:	SDO
CHECKED BY:	TJA
DATE:	APRIL 2018

SHEET TITLE
SARATOGA GRADING AND DRAINAGE

SHEET NO:
C-109

ADDENDUM 1

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ADDENDUM 1

SUPPLEMENTAL TECHNICAL SPECIFICATION

Clean Water Act 404/401 Permit Requirements

Lomitas Negras Phase 2

ALBUQUERQUE DISTRICT
U.S. ARMY CORPS OF ENGINEERS

STREAM STABILIZATION AND WATER QUALITY IMPROVEMENT PROJECTS PROJECTS WITHIN URBAN EPHEMERAL CHANNELS IN THE ALBUQUERQUE DISTRICT AREAS OF NEW MEXICO

EFFECTIVE DATE: March 26, 2014 EXPIRATION DATE: March 26, 2019

SPONSOR AND ISSUING OFFICE: U.S. Army Corps of Engineers, Albuquerque District

PERMIT NUMBER: Regional General Permit (RGP) No. NM-14-01 (Corps File No. SPA-2013-00565-ABQ)

PERMITTEE: Public agencies, businesses, or private parties (i.e., the public in general)

Notes: As used in this permit, "urban environments" means areas that have been identified in the U.S. Census Bureau 2010 geographic dataset as urbanized areas. In New Mexico, these urbanized areas include the greater Albuquerque area including Bernalillo, Corrales and developed portions of Rio Rancho; Farmington; Las Cruces; Los Lunas; and Santa Fe. [http://www.census.gov/geo/reference/ua/urban-rural-2010.html]

As used in this permit, "ephemeral channel" means a stream that has flowing water only during, and for a short duration after, precipitation events in a typical year. Ephemeral stream beds are located above the water table year-round. Groundwater is not a source of water for the stream. Runoff from rainfall is the primary source of water for stream flow.

As used in this permit "urban ephemeral channels", refers to ephemeral channels that are located in urban environments where the watershed has been altered as a result of surrounding residential, commercial, or industrial development. For example, many of the large arroyos within the city limits of Albuquerque have been channelized and lined with concrete to compensate for the increase in flow size and velocity due to an increase in impervious surfaces from urbanization. However, this RGP is not applicable to situations where the segment of ephemeral channel that is proposed for work is located within an undeveloped environment, even though it may convey flows downstream to an altered watershed.

The term "you" and its derivatives, as used in this permit, means the permittee. The term "this office" refers to the Albuquerque District office of the Corps of Engineers, which has jurisdiction over the permitted activity, or the appropriate official of this office acting under the authority of the District Engineer (DE).

After you receive verification from this office that your project complies with the terms and conditions of this RGP, you are authorized to perform work in accordance with the General Conditions and any project-specific conditions.

PROJECT DESCRIPTION: This permit authorizes discharges of dredged or fill material into Waters of the United States for stream stabilization and water quality improvement projects in ephemeral drainages located in urban environments. Examples of work that maybe undertaken under this permit, upon authorization by the District Engineer (DE), include but are not limited to:

- Bank stabilization projects that exceed the thresholds for Nationwide Permit (NWP) 13 and are determined by the Corps to result in minimal impacts to the aquatic environment.
- Construction of grade control structures, energy dissipaters, and flow deflection structures.
- Installation of water quality improvement features (e.g. debris containment or removal structures, sediment settling basins).
- Any combination of these types of activities if the proposed undertaking will result in minimal impacts to the aquatic environment.

LOCATION: Within the State of New Mexico.

GENERAL CONDITIONS OF THIS RGP:

1. **Time Period Covered**: This general permit will be effective through March 26, 2019; however eligible projects verified under this general permit must be completed by the time specified in the approval notification. If more time is required, the permittee must request an extension of time from the Corps. Requests for time extension shall be submitted to the Corps at least 45 days prior to the permit's specified expiration.

   Upon completion of the work, the permittee shall submit a signed Certification of Compliance form to the Corps. The certification shall include:

   a. A statement that the work was done in accordance with the Corps authorization, including any special conditions.
   b. A statement that the required compensatory mitigation, if applicable, was done in accordance with the permit conditions.
   c. The signature of the permittee certifying the completion of the work and mitigation.
   d. Project site photos.
   e. For all projects that include a design-build component, the permittee shall also submit a complete set of as-built drawings.

   The reauthorization of this RGP in March 26, 2019 will be based on the permit's usefulness and an evaluation of the impacts of projects that were verified.

2. **Notification/Communication**:

   a. **Timing**: The applicant must notify the DE as early as possible and shall not begin the activity until the DE provides written verification that the activity may proceed under this RGP with any site-specific special conditions imposed by the District or DE.
      i. Notification should be sent to:
      Attn: NM/TX Branch Chief via fax at 505-342-3678 or mail/electronic mail at:
      U.S. Army Corps of Engineers
      Albuquerque District
      Regulatory Division, CESPA-RD
      4101 Jefferson Plaza NE
      Albuquerque, NM 87109
      SPA-RD-NM@usace.army.mil

b. **Contents of Notification**: The notification should be in writing and include the following information:

(1) Applicant's name, address, and telephone number, and contact information for the owner of the affected land.
(2) A written description of the proposed work including:

(a) The purpose and need- describe nature of the project that falls within the scope of this RGP.
(b) A description of waters of the United States that may be affected by the activities
(c) Type, composition, and quantity of material to be excavated or placed (including temporary material used for cofferdams, etc)
(d) Length, width, and depth of fill area and/or excavation area
(e) A discussion of the direct and indirect adverse environmental effects of the activity
(f) Location of disposal site for excavated material
(g) Type of equipment to be used
(h) Identification/delineation of wetlands
(i) Mitigation Plan (if applicable)
**See Permit Condition 2d below*
(j) Maintenance Plan (if applicable)
(k) Any other pertinent, supporting data

(3) A location map indicating the location of the proposed work and a legal description (section, township, range, and county, NAD 83 UTM coordinates or latitude and longitude).
(4) A set of 8.5 by 11-inch drawings showing the details of the proposed work (plan and cross-sectional views showing elevations and dimensions). (5) If applicable, a compensatory mitigation plan for proposed wetland and/or stream fill or drainage activities.
(6) A written statement that the permittee agrees to abide by the terms and conditions of this permit.

c. **Form of Notification**: The Nationwide Permit Pre-Construction Notification (PCN) Form, available from the District's website at: http://www.spa.usace.army.mil/Portals/16/docs /civilworks/regulatory/NWP%20PCN%20Fillable%20Checklist-%20FINAL%20(2).pdf may be used as the notification. Regardless of the form of notification, that applicant must provide all of the information required in General Condition 2.b. Items (1)-(6) above.

d. **Mitigation**: Impacts resulting from discharges of dredged or fill material into Waters of the United States must be avoided or minimized to the maximum extent practicable. Compensation for unavoidable impacts will require at the discretion of the DE, appropriate mitigation measures. Factors that the DE will consider when determining the suitability of appropriate and practicable mitigation will include, but are not limited to:

(1) The approximate functions and values of the aquatic resource being impacted, such as habitat value, aquifer recharge, sediment conveyance or retention, flood storage, etc.
(2) The permanence of the project's impacts on the resource; and

(3) The potential long-term effects of the action on remaining functions and values of the impacted aquatic resource.

To be practicable, the mitigation must be available and capable of being done considering costs, existing technology, and logistics in light of the overall project purpose. Examples of mitigation that may be appropriate and practicable include, but are not limited to: reducing the size of the project; establishing wetland or upland buffer zones to protect aquatic resource values; replacing the loss of aquatic resource values by creating, restoring, or enhancing similar functions and values; or using other methods to offset project impacts.

The DE will utilize a watershed-based approach to establish compensatory mitigation requirements in association with use of this RGP to the extent appropriate and practicable. The goal of a watershed approach is to maintain and improve the quality and quantity of aquatic resources in a watershed through strategic selection of mitigation sites. In implementing this approach, the DE will consider the importance of landscape position and resource type of mitigation projects for the sustainability of aquatic resource functions within the watershed.

e. **District Engineer's Decision**: In reviewing the notification for the proposed activity, the DE will determine whether the activity would likely result in more than minimal individual or cumulative adverse environmental effects or may be contrary to the public's interest. The applicant may submit a proposed mitigation plan with the notification to expedite the process and the DE will consider any mitigation (See General Condition 2.b. above.) the applicant has included.

If the applicant elects to submit a mitigation plan as part of the proposed project, the DE will review the proposed plan. If the DE determines the activity complies with the terms and conditions of this RGP and the adverse effects are minimal, this office will notify the applicant and include any situation-specific conditions deemed necessary.

If the DE determines the adverse effects of the proposed work are more than minimal, the DE will notify the applicant that the project does not qualify for authorization under this RGP and instruct the applicant on the procedures to seek authorization under an individual permit or other general permit.

3. **Suitable Structures/Material**: The applicant must evaluate and employ structures and other project components that maintain, to the maximum extent possible, the natural functions/services of the aquatic environment.

All in-channel structures shall be keyed into the adjacent depositional environment (e.g. the stream bank) and protected by large rock or other suitable material to prevent them from being bypassed through scouring/undercutting or lateral migration during high flows. The distance that in-channel structures are keyed into the surrounding depositional environment shall be based on the size of the material used for the specific project and appropriate to the stream's hydrograph. As such, a scour analysis and other applicable hydraulic/hydrologic calculations may be required.

No discharge of dredged or fill material may consist of unsuitable material (e.g., trash, debris, car bodies, asphalt, etc.) and material discharged must be free from toxic pollutants in toxic amounts. All asphalt, concrete, drilling fluids and other construction materials must be

properly handled and contained to prevent releases into waters of the U.S. (See Section 307 of the Clean Water Act)

4. **Authorized Work**: Work not described in permit application documentation but deemed necessary after a field assessment is not authorized unless coordinated with the Regulatory project manager and acknowledged by appropriate means in writing (i.e., electronic mail or facsimile transmission, memo to the record, etc.).

   This RGP also does not authorize work required by property owners as *quid pro quo* for access through private or public property where such access is contingent upon work conducted by the permittee in waters of the U.S. for the benefit of the property owner. This is absolutely inappropriate and such additional activities are violations of Section 404 of the Clean Water Act unless previously authorized. If a local agency needs to acquire such access from an otherwise uncooperative property owner, existing condemnation procedures should be utilized to acquire the temporary access or permanent easement.

5. **Access to Site**: You must allow representatives from this office and other agencies to inspect the authorized activity at any time deemed necessary to ensure the project is being or has been accomplished in accordance with the terms and conditions of this RGP.

6. **Tribal Rights**: No activity or its operation may impair reserved tribal rights, including, but not limited to, reserved water rights and treaty fishing and hunting rights, and tribal water quality.

7. **Water Quality Certification**:

   For Permittees on Non-tribal Land: A state Water Quality Certification is required by CWA §401 to ensure that the permit is consistent with state law (State of New Mexico, Standards for Interstate & Intrastate Surface Waters, New Mexico Water Quality Control Commission, 20.6.4 New Mexico Administrative Code (NMAC) amendments effective on June 5, 2013) and complies with the state Water Quality Standards (20.6.4 NMAC), the Water Quality Management Plan/Continuing Planning Process, including Total Maximum Daily Loads (TMDLs), and the Antidegradation Policy. Pursuant to 20.6.2.2002 NMAC, the New Mexico Environment Department (NMED) issued a public notice of this activity and announced a public comment period and posted on the Surface Water Quality Bureau (SWQB) website www.nmenv.state.nm.us/swqb/WQA/Notice on February 7, 2014. The public comment period ended on March 11, 2014. No public comments were received. The SWQB issued conditional certification for the RGP dated on March 25, 2014.

   The following conditions are necessary to assure compliance with the applicable provisions of CWA §§301, 302, 303, 306, and 307 and with applicable requirements of State law. Compliance with the terms and conditions of the permit and this certification will provide reasonable assurance that the permitted activities will be conducted in a manner which will not violate applicable water quality standards and the water quality management plan and will be in compliance with the antidegradation policy. The State of New Mexico certifies that the discharge will comply with these provisions and requirements upon inclusion of the following conditions in the permit:

   1. Activities in surface waters of the state covered by RGP NM-14-01 require notification to the NMED Surface Water Quality Bureau. The notification must include: 1) detailed construction plans (including proposed in-channel excavations and temporary

diversions); 2) a description of potential adverse water quality impacts (including turbidity, which is a measurement of the amount of suspended material in water, as well as oil, grease, or hydraulic fluid, and all other potential contaminants); 3) a description of methods to be used to prevent water quality impacts (including detailed Best Management Practices, which must be designed to minimize sediment, oil, grease, and other pollutants from entering the water); 4) any surface water monitoring procedures; and 5) for any unavoidable surface water impacts, conceptual mitigation plans.

2. Fuel, oil, hydraulic fluid, lubricants, and other petrochemicals must not be stored within the 1 00-year floodplain and must have a secondary containment system capable of containing twice the volume of the product. Appropriate spill clean-up materials such as booms and absorbent pads must be available on-site at all times during construction.

3. All heavy equipment used in the project area must be pressure washed and/or steam cleaned before the start of the project and inspected daily for leaks. A written log of inspections and maintenance must be completed and maintained throughout the project period. Leaking equipment must not be used in or near surface water. Refuel equipment at least 100 feet from surface water.

4. Work in the stream channel should be limited to periods of no flow.

5. Temporary crossings should be restricted to a single location and perpendicular to and at a narrow point of the channel to minimize disturbance. Heavy equipment should be operated from the bank or work platforms and not enter surface water. Heavy equipment must not be parked within the stream channel. Directional borehole (horizontal) drilling must be used instead of open-cut trenching for the placement of utility lines or other buried structures crossing the channel.

6. All asphalt, concrete, drilling fluids and muds, and other construction materials must be properly handled and contained to prevent releases to surface water. Poured concrete must be fully contained in mortar-tight forms and/or placed behind non-erodible cofferdams to prevent contact with surface or ground water. Appropriate measures must be used to prevent wastewater from concrete batching, vehicle wash-down or aggregate processing entering the watercourse. Dumping of any waste materials in or near watercourses is prohibited.

7. Protective measures must be used to prevent blast, ripped or excavated soil or rock from entering surface water. Construction excavation dewatering discharges are to be uncontaminated and include all practicable erosion control measures and turbidity control techniques.

8. Work or the use of heavy equipment in wetlands must be avoided or minimized unless the impacts are to be mitigated.

9. All areas adjacent to the watercourse that are disturbed because of the project, including temporary access roads, stockpiles and staging areas, must be restored to pre-project elevations. Disturbed areas outside the channel that are not otherwise physically protected from erosion must be reseeded or planted with native vegetation. Stabilization measures including vegetation are required at the earliest practicable date, but by the end of the first full growing season following construction. Appropriate riparian and/or

wetland species must be used in areas that support such vegetation. Plantings must be monitored and replaced for an overall survival rate of at least 50 percent by the end of the second growing season. Once established, native plants adapted to the site must be able to thrive with no supplemental water or treatment.

10. A copy of this Certification must be kept at the project site during all phases of construction. All contractors involved in the project must be provided a copy of this certification and made aware of the conditions prior to starting construction.

11. The NMED must be notified at least five days before starting construction to allow time to schedule monitoring or inspections. The NMED must be notified immediately if the project results in an exceedance of applicable Standards.

For Permittees on Tribal Lands: Water Quality Certification (WQC) was requested from the 11 Native American tribes in New Mexico that have been granted WQC authority by EPA.

The following are a list of tribes that have certified RGP NM-14-01 with special conditions.

- Santa Clara Pueblo
- Ohkay Owingeh

Santa Clara Pueblo certified RGP NM-14-01 with the following conditions:

1. A Notice Of Intent (NOI) and Notice Of Termination (NOT) shall be provided to the Santa Clara Pueblo Governor's Office at the same time it is provided to the U.S. Army Corps of Engineer's District Engineer.

2. Access to Pueblo lands under the jurisdiction of the Pueblo must be approved in advance by the Pueblo's Governor's Office.

3. All permitted work will comply with applicable provisions of United States Clean Water Act and the Santa Clara Water Quality Code, adopted as amended by Santa Clara Tribal Council Resolution No. 2003-27 (July 15, 2003), and approved by the Secretary of the Interior effective August 5, 2003.

Ohkay Owingeh certified RGP NM-14-01 with the following conditions:

1. The Ohkay Owingeh Water Quality Standards shall not be exceeded.

2. The Permittee shall comply with all U.S. Army Corps of Engineers §404 permit conditions and Section 10 of the Rivers and Harbors Act of 1899 (RHA).

3. Prior to commencement of each project on Ohkay Owingeh Lands, the permittee shall provide a NOI and a NOT to Ohkay Owingeh Office of Environmental Affairs and the Environmental Protection Agency, and the copy of the proposed action (project plan) shall be provided to the tribe upon the tribes request.

4. Work in the stream channel should be limited to periods of no flow when practicable, and must be limited to periods of low flow. Avoid working within the channel during spring runoff or summer thunderstorm season.

5. When working in a stream channel, flowing water must be temporarily diverted around the work area to minimize sedimentation and turbidity problems. Acceptable diversion structures are non-erosive and include (but are not limited to) sand bags, water bladders, concrete barriers lined with plastic, and flumes.

6. The permittee shall restore all areas disturbed by construction activities to pre-project conditions. This shall include restoration of surface contours, stabilization of the soil and restoration of appropriate native vegetation to establish permanent cover.

7. All fuels, oil, hydraulic fluid, or other substances of this nature must not be stored, temporarily of otherwise, within the normal floodplain or the wetland. A secondary containment system for these items shall be used in the event the primary containment system leaks. Refueling or servicing of equipment must not take place within 100 feet of any watercourse or within the wetland area.

8. The construction area shall be protected such that a runoff event will not move soil or contaminants to surface water or away from the construction site. These measures shall be in place prior to the commencement of activities and inspected daily.

9. Temporary mats must be placed on stream banks, riparian areas, and wetlands, to minimize impacts to soil and vegetation from heavy equipment.

10. Temporary access roads must be restored to pre-project conditions.

11. Do to known and unknown endangered species that may reside on Ohkay Owingeh's river corridor, the permittee shall contact Ohkay Owingeh prior to implementing the project.

The following are a list of tribes that have denied Section 401 WQC for RGP NM-14-01:

- Taos Pueblo.
- Pueblo of Isleta
- Pueblo of Sandia
- Navajo Nation

For projects on tribal lands where water quality certification was denied, the prospective permittee must receive individual Section 401 certification to ensure proposed actions do not exceed tribal water quality standards.

Tribes that did not provide or deny WQC in response to the Corps' request for certification:

The following tribes did not provide or deny WQC in response to the Corps' request for certification and are therefore presumed to have waived certification. However, the applicant should contact the tribe prior to commencing work on tribal lands where certification was not provided.

- Pueblo of Acoma
- Pueblo of Nambe
- Picuris Pueblo
- Pueblo of Pojoaque
- Tesuque Pueblo

Tribes without water quality certification authority:

The U.S. Environmental Protection Agency has not issued Section 401 certification for tribal lands in New Mexico where the tribe does not have water quality certification authority. Project proponents must contact the tribe directly prior to conducting work on tribal lands. The 11 tribes that DO NOT have water quality certifying authority are:

- Santa Ana Pueblo
- Pueblo of Cochiti
- Jemez Pueblo
- Pueblo of San Felipe
- Pueblo of San Ildefonso
- Zia Pueblo
- Santa Domingo/Kewa Pueblo
- Zuni Pueblo
- Mescalero Apache Pueblo
- Pueblo of Laguna *
- Jicarilla Apache Pueblo

* Since issuance of this RGP in 2014, the Pueblo of Laguna has been granted WQC authority by EPA. As such, the prospective permittee must receive individual Section 401 certification from the Pueblo of Laguna to ensure proposed actions do not exceed tribal water quality standards.

8. **Endangered Species:** No activity is authorized under this RGP which is likely to jeopardize the continued existence of a threatened or endangered species or destroy or adversely modify designated critical habitat as identified under the Federal Endangered Species Act (ESA).

As appropriate, the Corps will consult with the U.S. Fish and Wildlife Service (USFWS) on specific requests to perform work under this permit if the project may affect a threatened or endangered species, or critical habitat.

Consultation may conclude with the identification of conservation recommendations by the USFWS in non-jeopardy Biological Opinion (BO). At the Corps' discretion, these recommendations will be incorporated into the permit decision, and the Corps will enforce compliance with accepted recommendations. If the USFWS renders a jeopardy BO and reasonable and prudent alternatives cannot be implemented to avoid the unacceptable impacts, the project will require an individual Department of the Army permit. Authorization of an activity under this permit does not authorize the "take" of a threatened or endangered species as defined under the ESA. In the absence of separate authorization (e.g., an ESA Section 10 Permit, a BO with "incidental take" provisions, etc.) from the USFWS, both lethal and non-lethal "takes" of protected species are in violation of the ESA.

Information on the location of listed or proposed threatened or endangered species and their designated or proposed critical habitat can be obtained directly from the FWS or from their website at http://www.fws.gov/endangered/.

9. **Historic Properties**: Impacts to historic properties listed, proposed for listing, or potentially eligible for listing in the National Register of Historic Places will be avoided to the maximum extent practicable. If such resources will be impacted because of actions authorized under this RGP, the Corps, the State Historic Preservation Office and/or the Advisory Council for Historic Preservation will then jointly make a determination as to appropriate procedures and/or mitigation to be addressed.

   If the permittee discovers any previously unknown historic or archeological remains while accomplishing the activity authorized by this RGP, the permittee must immediately notify the Corps Regulatory Branch who will initiate the Federal and State coordination required to determine if the remains warrant a recovery effort or if the site is eligible for listing in the National Register of Historic Places.

10. **Regional and Case-by-Case Conditions**: The activity must comply with any special conditions added by the District Engineer.

11. **Erosion and Siltation Controls**: Best Management Practices (BMPs) must be utilized to ensure any material dredged or excavated from Waters of the United States is not likely to be washed back into any Waters of the United States. When feasible, erosion and siltation controls, such as siltation or turbidity curtains, sedimentation basins, and/or straw bales or other means designed to minimize turbidity in the watercourse above background levels existing at the time of construction, shall be used and maintained in effective operating condition during construction unless conditions preclude their use, or if conditions are such that the proposed work would not increase turbidity levels above the background level existing at the time of the work. All exposed soil and other fills, as well as any work below the ordinary high water mark, must be stabilized at the earliest practicable date to preclude additional damage to the project area through erosion or siltation.

    Work in the stream channel should be limited to periods of no flow. Although this RGP is applicable to ephemeral channels, unexpected flows could occur during construction—especially if conducted during the monsoon season. As such, the permittee shall incorporate flow diversion BMPs into the construction plan for any projects that will be constructed during the monsoon season or other times when significant flows are expected to occur (e.g. spring runoff).

12. **Stream Channelization**: The Corps will not authorize blockage or filling of an existing stream meander in this RGP. The Corps will prohibit channelization, except for minor channelization or alignments in the vicinity of stream crossings.

13. **Proper Maintenance**: Any structure or fill authorized by this RGP shall be maintained; including maintenance to ensure public safety, unless it is later determined that the structure is further contributing to other adverse conditions to private or public property. In such situations, corrective measures will be taken to rectify these adverse conditions, including removal and/or reconfiguration of the original corrective action, or appropriate mitigation as determined through coordination with the permittee and the appropriate Federal and State agencies.

14. **Removal of Temporary Fills**: Temporary fills shall be removed in their entirety and the affected areas returned to pre-existing elevations and revegetated with appropriate native riparian or wetland vegetation common to the area. If an area impacted by such a temporary fill is considered likely to naturally re-establish native riparian or wetland vegetation to a level similar to pre-project or pre-event conditions within two years, removal will not be required.

15. **Removal of Vegetation**: Vegetation removal shall be limited to that which is necessary to ensure functionality of the implemented project. All removal of riparian or wetland vegetation must be fully described in 2.b. (2)(e) above.

16. **Bank Stabilization:** These activities must meet the following criteria:

    a. No material is placed in excess of the minimum needed for erosion protection;

    b. The activity will not exceed an average of one cubic yard per running foot placed along the bank below the plane of the ordinary high water mark, unless the district engineer waives this criterion by making a written determination concluding that the discharge will result in minimal adverse effects.

    c. The single and complete bank stabilization project shall not exceed 2,000 feet in length along a stream bank. NOTE: For projects that include bank stabilization in multiple locations, each independent section of bank stabilization shall be considered a single and complete project.

    d. The size of the bank stabilization measures shall be large enough to withstand expected high flow velocities and turbulence to prevent utilized materials from dislodging.

    e. In most cases, the slope steepness of the bank stabilization should not exceed one foot vertical for two feet horizontal. However, to accommodate the situation where stabilization is needed in confined urban areas, the Corps may approve bank stabilization that exceeds the specified maximum slope steepness.

    f. The upstream and downstream ends of the stabilization features shall be keyed into the bank to prevent removal by high flows. The toe of the bank stabilization shall also be buried in order to prevent scouring and subsequent slumping of the material. Self-launching rip rap may also be employed to satisfy this condition.

17. **Grade Control Structures:** These activities must meet the following criteria:

    a. Material size shall be large enough to withstand expected high flow velocities and associated turbulence.

    b. All grade control structures shall be keyed into the surrounding depositional environment (e.g. stream bank) and protected by large rock or other suitable material to prevent them from being bypassed through scouring and undercutting or lateral migration during high flows. The distance that grade control structures are keyed into the bank shall be based on the size of the material used for the specific project and associated with the stream's hydrograph.

    c. Construction or placement of fill materials for a grade control structure should start at both banks and proceed toward the middle of the stream. Materials placed on the banks

shall not extend above the bankfull elevation of the stream unless the applicant demonstrates that placement above the bankfull elevation is appropriate based on the channel hydraulics.

d. Construction equipment shall access the stream at the fewest possible locations to minimize disturbance to the aquatic environment and riparian areas.

18. **Installation of Flow Deflectors:** These activities must meet the following criteria unless an alternate design is justified and approved:

    a. Flows shall not be directed to erode the opposite bank of the waterway.

    b. The maximum extension of any deflector into a waterway shall not exceed 25 percent of the bankfull channel width.

    c. Deflectors shall be spaced along the bank to prevent scouring or scalloping of the bank between the structures.

    d. Deflectors must be securely anchored (or keyed) into the bank in order to protect against undercutting, circumvention, or dislocation of the structures by high flows. CAUTION: Logs are especially susceptible to damages during high flows and must be adequately anchored with large rock or steel cables to prevent them from becoming dislodged. If unraveled, these materials can cause damage downstream (e.g., block bridge openings, form new channel bars, etc.).

    e. Trees for deflector construction shall not be obtained from a riparian or wetland source.

19. **Sediment/Stilling Basins:** These structures must meet the following criteria:

    a. Proposals must include an analysis of the effects of the proposed structure on the downstream receiving water's aquatic environment, i.e. whether the downstream reach is aggrading or degrading, the effect of the proposed structure on aquatic habitat, and any significant changes to channel morphology in the receiving water.

    b. Storm water should be conveyed to and from the basin in a manner that minimizes erosion potential. The outfall of the basin must be stabilized to prevent scour and an emergency spillway should be provided to safely convey large flood events.

    c. Plans must include features designed to prevent clogging of outlets and pipes

    d. A maintenance and inspection plan must include inspection of the basin after each storm event to ensure proper drainage from the collection pool and determine the need for structural repairs. Replace material eroded from embankments or dams immediately. Locate sediment basins in an area that is easily accessible to maintenance crews for removal of accumulated sediment. Remove sediment from the basin when the storage capacity has reached approximately 50 percent. Remove trash and debris from around dewatering devices promptly after rainfall events.

20. **Water Quality Improvement Features:** These structures must meet the following criteria:

    a. These structures must be constructed in a manner to withstand expected high flow

velocities and associated turbulence, as well as necessary scour prevention improvements to avoid structure bypass and undermining.

21. The permittee must comply with all Federal, State and local applicable regulations and ordinances.

FURTHER INFORMATION:

1. **Congressional Authorities**: Activities conducted under this RGP are authorized pursuant to:

   (X) Section 404 of the Clean Water Act (33 U.S.C. 1344).

2. **Limits of authorization under RGP No. NM-14-01:**

   a. This permit does not obviate the need to obtain other Federal, State, or local authorizations required by law.
   b. This permit does not grant any property rights or exclusive privileges.
   c. This permit does not authorize any injury to the property or rights of others.
   d. This permit does not authorize interference with any existing or proposed Federal project.

3. **Limits of Federal Liability**: In issuing this permit, the Federal Government does not assume any liability for the following:

   a. Damages to the permitted project or uses thereof as a result of other permitted or unpermitted activities or from natural causes.
   b. Damages to the permitted project or uses thereof as a result of current or future activities undertaken by or on behalf of the United States in the public interest.
   c. Damages to persons, property, or to other permitted or unpermitted activities or structures caused by the activity authorized by this permit.
   d. Design or construction deficiencies associated with the permitted work.
   e. Damage claims associated with any future modification, suspension, or revocation of this permit.

4. **Reliance on Applicant's Data**: The determination of this office that provision of permit verification under this RGP is not contrary to the public interest is made in reliance on the information provided by the permittee.

5. **Reevaluation of Permit Decision**: This office may reevaluate its decision to issue this RGP, or on the verification that any particular activity qualifies for this RGP, at any time circumstances warrant. Circumstances that could require a reevaluation include, but are not limited to, the following:

   a. Failure to comply with the terms and conditions of this permit.
   b. The information provided in support of the permit verification request or after-action report proves to be false, incomplete, or inaccurate. See Item 4 above.
   c. Significant new information becomes available which this office did not consider in reaching the original public interest decision.
   d. The activity is determined to result in more than minimal impacts.

   Such a reevaluation may result in a determination that it is appropriate to use the suspension, modification, and revocation procedures contained in 33 CFR 325.7 or enforcement

procedures such as those contained in 33 CFR 326.4 and 326.5. The referenced enforcement procedures provide for the issuance of an administrative order requiring compliance with the terms and conditions of this permit and for the initiation of legal action where appropriate. The permittee will be required to pay for any corrective measures ordered by this office. If the permittee fails to comply with such directive, this office may, in certain situations (such as those specified in 33 CFR 209.170), accomplish the corrective measures by contract or otherwise and bill the permittee for the cost.

This permit becomes effective when the Federal official, designated to act for the Secretary of the Army, has signed below.

Allan E. Steinle 26 Mar 14

ALLAN E. STEINLE DATE
Chief, Regulatory Division

NEW MEXICO
ENVIRONMENT DEPARTMENT

Surface Water Quality Bureau

Harold Runnels Building, N2050
1190 South St. Francis Drive (87505)
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SUSANA MARTINEZ
Governor

JOHN A. SANCHEZ
Lieutenant Governor

RYAN FLYNN
Secretary

BUTCH TONGATE
Deputy Secretary

ERIKA SCHWENDER
Director
Resource Protection Division

March 25, 2014

Mr. Allan Steinle
U.S. Army Corps of Engineers
Albuquerque District
Regulatory Division, CESPA-RA
4101 Jefferson Plaza NE
Albuquerque, NM. 87109

Re: Clean Water Act Section 401 Water Quality Certification: Regional General Permit (RGP) NM-14-01: For Stream Stabilization and Water Quality Improvement Projects in Urban Ephemeral Channels Within the State of New Mexico. Action Number SPA-2013-00565-ABQ

Dear Mr. Steinle:

The New Mexico Environment Department (NMED) has examined the draft Regional General Permit (RGP) indicated above under Sections 404 and 401 of the federal Clean Water Act (CWA). According to the application, RGP NM-14-01 would authorize discharges of dredged or fill material into ephemeral channels determined to be Waters of the United States within urbanized areas for stream stabilization and water quality improvement activities. The RGP is applicable to ephemeral channels located in urban/developed environments within the geographical boundaries of the Albuquerque District in the State of New Mexico. Examples of work that maybe undertaken under this permit, upon authorization by the District Engineer (DE), include but are not limited to:

(1) Bank stabilization projects that exceed the thresholds for Nationwide Permit (NWP) 13 and are determined by the Corps to result in minimal impacts to the aquatic environment.

(2) Construction of grade control structures, energy dissipaters, and flow deflection structures.

(3) Installation of water quality improvement features (e.g. debris containment structures).

(4) Any combination of these types of activities if the proposed undertaking will result in minimal impacts to the aquatic environment.

NMED Comments on Draft Regional General Permit NM-14-01:

NMED recommends that the Corps identify the "urban environments" within which the RGP would apply, and state the applicable definition in the final RGP. The U.S. Census Bureau produces a geographic dataset for Census-defined urbanized areas that is used by other Clean Water Act permitting programs (e.g., Section 402 and the National Pollutant Discharge Elimination System), which the Corps may find useful and appropriate for applying this RGP.

NMED also recommends that the Corps define "ephemeral" in the final RGP. In the New Mexico Water Quality Standards (20.6.4 NMAC), ephemeral means "the water body contains water briefly only in direct response to precipitation; its bed is always above the water table of the adjacent region."

Conditional Section 401 Certification:

A state Water Quality Certification is required by CWA §401 to ensure that the permit is consistent with state law (State of New Mexico, Standards for Interstate & Intrastate Surface Waters, New Mexico Water Quality Control Commission, 20.6.4 New Mexico Administrative Code (NMAC) amendments effective on June 5, 2013) and complies with the state Water Quality Standards (20.6.4 NMAC), the Water Quality Management Plan/Continuing Planning Process, including Total Maximum Daily Loads (TMDLs), and the Antidegradation Policy. Pursuant to 20.6.2.2002 NMAC, NMED issued a public notice of this activity and announced a public comment period and posted on the SWQB web site www.nmenv.state.nm.us/swqb/WQA/Notice on February 7, 2014. The public comment period ended on March 11, 2014. No public comments were received.

The following conditions are necessary to assure compliance with the applicable provisions of CWA §§301, 302, 303, 306, and 307 and with applicable requirements of State law. Compliance with the terms and conditions of the permit and this certification will provide reasonable assurance that the permitted activities will be conducted in a manner which will not violate applicable water quality standards and the water quality management plan and will be in compliance with the antidegradation policy. The State of New Mexico certifies that the discharge will comply with these provisions and requirements upon inclusion of the following conditions in the permit:

1. Activities in surface waters of the state covered by RGP NM-14-01 require notification to the NMED Surface Water Quality Bureau. The notification must include: 1) detailed construction plans (including proposed in-channel excavations and temporary diversions); 2) a description of potential adverse water quality impacts (including turbidity, which is a measurement of the amount of suspended material in water, as well as oil, grease, or hydraulic fluid, and all other potential contaminants); 3) a description of methods to be used to prevent water quality impacts (including detailed Best Management Practices, which must be designed to minimize sediment, oil, grease, and other pollutants from entering the water); 4) any surface water monitoring procedures; and 5) for any unavoidable surface water impacts, conceptual mitigation plans.

2. Fuel, oil, hydraulic fluid, lubricants, and other petrochemicals must not be stored within the 100-year floodplain and must have a secondary containment system capable of containing twice the volume of the product. Appropriate spill clean-up materials such as booms and absorbent pads must be available on-site at all times during construction.

3. All heavy equipment used in the project area must be pressure washed and/or steam cleaned before the start of the project and inspected daily for leaks. A written log of inspections and maintenance must be completed and maintained throughout the project period. Leaking equipment must not be used in or near surface water. Refuel equipment at least 100 feet from surface water.

4. Work in the stream channel should be limited to periods of no flow.

5. Temporary crossings should be restricted to a single location and perpendicular to and at a narrow point of the channel to minimize disturbance. Heavy equipment should be operated from the bank or work platforms and not enter surface water. Heavy equipment must not be parked within the stream channel. Directional borehole (horizontal) drilling must be used instead of open-cut trenching for the placement of utility lines or other buried structures crossing the channel.

6. All asphalt, concrete, drilling fluids and muds, and other construction materials must be properly handled and contained to prevent releases to surface water. Poured concrete must be fully contained in mortar-tight forms and/or placed behind non-erodible cofferdams to prevent contact with surface or ground water. Appropriate measures must be used to prevent wastewater from concrete batching, vehicle wash-down or aggregate processing entering the watercourse. Dumping of any waste materials in or near watercourses is prohibited.

7. Protective measures must be used to prevent blast, ripped or excavated soil or rock from entering surface water. Construction excavation dewatering discharges are to be uncontaminated and include all practicable erosion control measures and turbidity control techniques.

8. Work or the use of heavy equipment in wetlands must be avoided or minimized unless the impacts are to be mitigated.

9. All areas adjacent to the watercourse that are disturbed because of the project, including temporary access roads, stockpiles and staging areas, must be restored to pre-project elevations. Disturbed areas outside the channel that are not otherwise physically protected from erosion must be reseeded or planted with native vegetation. Stabilization measures including vegetation are required at the earliest practicable date, but by the end of the first full growing season following construction. Appropriate riparian and/or wetland species must be used in areas that support such vegetation. Plantings must be monitored and replaced for an overall survival rate of at least 50 percent by the end of the second growing season. Once established, native plants adapted to the site must be able to thrive with no supplemental water or treatment.

10. A copy of this Certification must be kept at the project site during all phases of construction. All contractors involved in the project must be provided a copy of this certification and made aware of the conditions prior to starting construction.

11. The NMED must be notified at least five days before starting construction to allow time to schedule monitoring or inspections. The NMED must be notified immediately if the project results in an exceedance of applicable Standards.

If you have any questions regarding this Section 401 Water Quality Certification, please feel free to contact Mike Matush of my staff at 505 827-0505.

Sincerely,

James Hogan
Chief
Surface Water Quality Bureau

JH:mm

xc: Tom Nystrom, Wetlands, Region 6, USEPA
Jill Wick, New Mexico Department of Game and Fish
U.S. Fish and Wildlife Service
401 Certification File SPA-2013-00565-ABQ